Scientific Agency and Social Scaffolding in Contemporary Data-Intensive Biology

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Abstract

It is widely recognised that social scaffolding is crucial to the entrenchment of new technologies and related standards and practices in scientific research, as well as to its manifestations and results. At the same time, there is little understanding of the circumstances under which, and the reasons why, some forms of sociality are effective in promoting particular types of scientific work. This chapter explores these questions by focusing on two forms of social scaffolding involved in the development of practices of data dissemination through digital means – and particularly infrastructures such as online databases – within the contemporary life sciences: (1) ontology consortia, which have recently emerged as de facto regulatory bodies for data curation in the US and Europe, and (2) steering committees for model organism communities, which play significant roles in the governance of biological research in the UK. I discuss the successful transformation of these initially ad hoc groups into scientific institutions with political and epistemic visibility and power. Drawing on political theory, I then argue that viewing these organisations as social movements is a fruitful strategy to understand their development from informal gatherings into well-recognised regulatory bodies, and how this process of institutionalisation builds on highly entrenched forms of group socialisation. This in turn facilitates an analysis of the interrelation between institutional and infrastructural scaffolding involved in the evolution of scientific knowledge-making activities.

Keywords

Social scaffolds; social organisation of science; data curation; data infrastructures; research practice; biological data; ontology consortia; steering committees; social movements.
Introduction

Philosophers of science are starting to pay attention to the impact of communication technologies, particularly those functioning as means to share results and resources, such as data or materials, on scientific methods and epistemology (Soyer and O’Malley 2012, Callebaut 2012, Leonelli 2012, Ratti 2015). This is especially salient in so-called ‘big data’ initiatives, where high-throughput means of data production (such as sequencing machines, particle colliders and space telescopes) are coupled with new technologies for the dissemination, integration and visualisation of the resulting masses of data (such as online databases and software for data analysis). Several commentators have described this phenomenon as an ‘information turn’ in the practices of knowledge production (Gibbons et al 1996, Castells 1996, Hay et al 2009, Floridi 2013). What philosophers tend to overlook, however, is the significant role of social scaffolds in the development and implementation of these technologies towards generating new research. Social scaffolds include project teams, research networks, scientific institutions, policy bodies, learned societies, governmental committees and other relevant forms of social engagement and governance. Here I explore the circumstances under which specific types of social scaffolding facilitate advances in research, and the reasons why some forms of sociality are effective in promoting certain kinds of scientific work. I concentrate on cases where scientists coordinate their efforts so as to create groups responsible for articulating common concerns, making them visible to peers as well as funders and publishers, and developing ways to address them in everyday research practice. As I will show, these groups need to acquire resilience to endure the ever-shifting landscape of short-term funding agreements, fast-moving technologies and multiple clusterings of expertise that support research in any given field. This resilience is necessary given the challenges and time involved in gaining enough visibility to be able to command the attention of well-established regulatory institutions, such as governmental funders and learned societies. At the same time, these groups of scientists also need to be flexible and responsive enough to retain their usefulness vis-à-vis the shifting needs of the relevant scientific communities. I argue that, in their attempts to straddle these requirements, scientists tend to rely on well-entrenched social configurations and coordination strategies, some of which have been singled out and examined by political theorists looking at the emergence and establishment of social movements. Borrowing key ideas from social movement theory, I show how they can help us to understand the evolution of regulatory structures aimed at facilitating scientists’ engagement with new technologies to enhance research outputs.

My discussion will be grounded in the examination of two types of organisations that have been heavily involved in the development of practices of data dissemination through digital means within the life sciences over the last decade. These are (1) ontology consortia, which were created by biologists to promote online tools to classify and disseminate data, and have evolved into de facto regulatory bodies in bioinformatics and data curation in the US and Europe; and (2) steering committees for model organism communities, whose success in enhancing the cohesion, visibility and reputation of biological research resulted in their playing significant roles in the governance of research. These are cases in which individual researchers successfully joined forces in order to build representation and political agency for their scientific concerns that resulted in the creation of organisations with regulatory power over
research activities at the national and sometimes even the international level. They also are instances of two broader types of social structures that play a crucial role in the management of virtually every field: consortia and steering committees. Yet these have not received much attention from science studies scholars, especially in comparison to “networks” and “laboratories”, which have been central units of analysis for social scientific work in this area over the last twenty years.¹

The chapter is structured as follows. In the first section, I briefly document the emergence of these groups and their successful transformation into scientific institutions with political and epistemic visibility and agency. Next, drawing on ideas from political theory, I argue that viewing these organisations as social movements is a fruitful strategy to make sense of their development from informal groups into well-recognised regulatory bodies. In the third section, I discuss how this process of institutionalisation builds on highly entrenched forms of group socialisation (“core configurations”; Caporael 1997), while at the same time fitting the modular and highly dynamic nature of current research networks, which typically involve short-term collaborations around individual projects. In conclusion, I reflect on how my analysis could inform studies of the interrelation between institutional and infrastructural scaffolding involved in the evolution of scientific knowledge-making activities.

1. Regulating Data Dissemination in Contemporary Biology

Over the last three decades, scientific societies, governmental bodies and industry have devoted increasing attention to the opportunities offered by the implementation of new technologies for the production and dissemination of biological research data (Leonelli 2013).² The sheer amounts of organisation, standardisation and infrastructure required to store and disseminate biological data – as well as the bureaucracy, institutional accountabilities and red tape developed to that end - arguably exceeds anything previously experienced within the life sciences. In the words of prominent scientific commentators: “The introduction in 2005 of so-called next generation sequencing instruments that are capable of producing millions of DNA sequences has not only led to a huge increase in genetic information but has also placed bioinformatics, and life science research in general, at the leading edge of infrastructure development for the storage, movement, analysis, interpretation and visualisation of petabyte-scale datasets” (Southan and Cameron 2009, 119).³

The development of efficient data sharing practices requires insights from the producers and users of data, whose understanding of their quality and significance as

¹ See the overviews of Science and Technology Studies (STS) work on the social organisation of scientific research provided in Bijker et al (1987), Hackett et al (2008), and Atkinson et al (2009).
² For example, there are many STS analyses of standardisation procedures, the role of standards as ‘coordination devices’ (Bowker and Star 1999) for complex networks of actors (Latour 1987), the relation between biomedical regulation and the production of ‘objective’ knowledge (Cambrosio et al 2009) and the way in which standards foster accountability and trust by facilitating the enactment of ‘rituals of verification’ (Power 1997). The specific case of bioinformatic standards also has been subject to several studies (e.g. Hilgartner 1995, Bowker 2001, Hine 2006, Garcia-Sanchos et al 2010, Mackenzie 2012, Lewis & Bartlett 2013, as well as my own work on the subject).
³ For analyses of the notions of scale at play in ‘big biology’, see Davies, Frow and Leonelli (2013).
research materials is unparalleled. At the same time, individual scientists are not typically in a position to control the considerable resources and manpower required to build relevant infrastructures, policies and standards, nor does scientific expertise constitute the only source of insight with regards to the value of research data. Indeed, data management on such a large scale requires a variety of skills, expertise and insight, which include scientific assessment but also social, political, legal and economic understanding of the circumstances under which data can be stored, maintained and re-used. Biologists interested in data dissemination have long struggled with the complex cluster of expertise and political visibility needed to debate – let alone decide upon – data management and sharing strategies, as demonstrated by the history of data sharing agreements like the Bermuda Rules (Harvey and McMeekin 2007, Contreras 2011). Two initiatives taken by groups of biologists in order to organise the public dissemination of research data produced within their field—the Gene Ontology and the Genomic Arabidopsis Resource Network—illustrate how scientists can and do join forces to influence the governance of their research in ways that favour their professional interests and intellectual commitments. Both types of collective action required the development of common standards and practices geared towards the resolution of scientific problems emerging in specific research contexts. At the same time, the establishing of such standards was intertwined with the development and implementation of a regulatory system for scientific research, targeted towards addressing the needs and characteristics of the groups involved.

1.1 Consortia and the Case of the Gene Ontology

The term “consortium” has recently acquired popularity within the life sciences as a way to refer to scientific collectives brought together by a common set of concerns. These span from an interest in specific phenomena (e.g. the Beta Cell Biology Consortium, devoted to pancreatic islet development and function; http://www.betacell.org/), to solving a common technical problem (e.g. the Flowers Consortium in the UK, aimed at creating a common infrastructure for synthetic biology; http://www.synbiuk.org/), or the promotion of a specific standard or technique (e.g. the Molecular Biology Consortium [MBC], founded to further high-throughput analysis of biomolecular and subcellular structures via a superb X-ray beamline at the Advanced Light Source; http://www.mbc-als.org/). The members of a consortium, which can be individuals as well as groups, labs and institutes, do not need to be located in the same geographical site or belong to the same discipline. Indeed, the term is typically used to designate groups of scientists based in different institutions around the world and coming from a variety of disciplinary backgrounds. Consortia are sometimes fuelled by dedicated funding, most often provided by governmental bodies interested in supporting a specific area of scientific work. In other cases, financial support is achieved by bringing together a variety of public and private resources. One example is the Gene Ontology Consortium, which was created to develop and promote a particular tool for online data dissemination: the Gene Ontology (GO).

GO was created in 1999 as an alternative to the classification systems for genomic data proposed within medical informatics. The group of curators involved in the GO Consortium started their involvement as scientists motivated by an unhappiness with
how data were organised in databases at that time. They set out to create a resource that would do a better job of representing biologists’ needs. In 1998, the group consisted of only five representatives from the yeast, mice and fly communities, who saw themselves as fighting for a biology-driven bioinformatics. Their involvement with GO stemmed from their dissatisfaction with the ways in which medical informatics, as a field, was handling the set-up of data sharing tools in biomedicine, and particularly model organism biology. They felt that the voices of biologists actually producing and working with these data were not being heard, and endeavored to produce a set of tools that would be grounded in biological know-how and geared towards the expectations and needs of biology users (for more historical details, see Leonelli 2009, 2010). In 2000, funding for their efforts started to trickle in and they found themselves in a position to recruit more like-minded researchers from other model organism communities. Following the explosion of data-intensive methods and related data infrastructures, these efforts came to be more widely recognised as crucial to the future development of biological research as a whole. The GO group expanded to include a head office based at the European Bioinformatics Institute (EBI) in the UK, counting up to ten researchers at any one time, and at least 20 affiliated data curators spread around the world. These curators come together as a collective in regular meetings, online discussions and funding applications. While many of the curators involved shift periodically depending on project funding and local institutional arrangements, some of them persist as a long-term core group of affiliated scholars since the start of the project. GO has been increasingly institutionalised, both as part of the EBI and through strong links with the National Centre for Biomedical Ontology in the US. Still, it continues to rely on voluntary contributions of participants, both financially and in terms of manpower and data donation. For example, representatives from FlyBase, the database devoted to the dissemination of data on the fruit-fly Drosophila melanogaster, contribute as much as they can justify under the remit of their project funding. Many others involved with organism databases do the same (e.g., The Arabidopsis Information Resource and WormBase, for the nematode C. elegans).

In previous work (Leonelli 2009, 2010), I have discussed the function of the GO Consortium as a powerful force within biology and beyond. The consortium has been successful in developing procedures and technologies through which users can interact among each other and upload, retrieve and analyse data. It also has had a strong influence on what counts as professional training for data curators in model organism databases, most notably by helping to establish the International Society for Biocuration, which largely defined best practices for this field and strengthened its professional standing. Moreover, it has contributed to promoting values, such as open access to data, inter-community co-operation and diversity in epistemic practices across biology, as well as fostering the pursuit of common goals, such as specific kinds of cross-species, integrative biology. All these activities involve networking both with the biological communities interested in the data being disseminated and the funding bodies and learned societies involved in supporting the relevant biological fields. The successes of GO signal the impressive increase in regulatory power, international visibility and political resonance that this group has enjoyed since its origin. The GO Consortium has played an important role as an agent of change within the biological community.
1.2 Steering Committees and the Case of GARNet

A similar case study is provided by the ways in which model organism communities have organised and coordinated themselves, resulting in an affirmation of their identity as key actors within the scientific landscape. Such organisation is provided largely by steering committees: groups of representatives from the community who meet regularly to discuss future directions for the community as a whole (typically some of the most active Principal Investigators, either elected by the community or sometimes self-appointed). One of these steering committees is GARNet, the Genomic Arabidopsis Resource Network. GARNet consists of a committee of plant scientists working on the model organism Arabidopsis thaliana. Most committee members are elected for a three-year term by UK researchers who self-identify as having an interest in Arabidopsis research, with efforts made at every election to ensure a fair representation in terms of research interests, gender and geographical spread. Coordination and long-term memory is provided by two GARNet coordinators, one of which has been in place since its birth while the other post has been filled by different individuals over the years; the committee Chairs and PIs of the GARNet grant, who has shifted over the years but continue to maintain close affiliation with the group even after the end of their mandates; and two ex officio committee members (the director of the European Arabidopsis Stock Centre, who was part of the committee since its birth, and myself as an Arabidopsis historian and plant data expert since 2009). GARNet was created in 2000 as part of the Gene Function initiative funded by the Biotechnology and Biological Sciences Research Council in the UK. While its initial remit was to ensure availability of functional genomic technologies across UK plant science labs (Beale et al 2002), GARNet has succeeded in obtaining two further rounds of funding from the UK Biotechnology and Biological Sciences Research Council (BBSRC), and established itself as one of the most important organisations for the coordination, steering and representation of basic plant research in the UK and internationally. This happened through several initiatives, including: (1) establishment of a website and regular newsletter, which constitute unique information sources for new resources and initiatives in the field (principally concerning data, but also embracing experimental techniques and instruments, as well as new funding opportunities); (2) organisation of annual meetings attracting Arabidopsis scientists, but also increasingly other plant scientists interested in updates on opportunities, techniques and technologies for cross-species research; (3) coordination of dialogue among key stakeholders in the field, including learned societies like the Society of Biology, key funders such as BBSRC and the publishing industry responsible for the leading journals in plant science; (4) set-up of surveys across the plant community, with the objective of articulating scientists’ perception of what constitute interesting new research directions and communicating it to funders (e.g., a survey commissioned by BBSRC on the status of system biology in plant research); and, (5) monitoring of how many resources funding bodies allocate to plant science vis-à-vis other parts of biology, and lobbying for more resources and attention to be allocated to plant scientists.

As a result of these activities, GARNet now plays a central role in mediating the transition of the UK plant science community from a focus on functional genomics to system/synthetic plant science and translational research. Indeed, GARNet played a key role in integrating research conducted on Arabidopsis (traditionally funded by the BBSRC and viewed as fundamental research with no immediate applicability) with
research carried out on crops such as barley, maize and wheat (traditionally funded by the Department for Environment, Food and Rural Affairs and viewed as applied biotechnology). The rapprochement of these two communities was needed and overdue: Arabidopsis research has advanced to yield precious insights for agriculture (e.g., how to increase plant yield) and emerging biofuels (e.g., how to increase cell metabolism so as to make plants produce more butanol). Additionally, crop science is realising that Arabidopsis research provides excellent comparative tools for research across plant species. GARNet has taken the lead in coordinating meetings among investigators in both communities, resulting in the founding of the UK Plant Science Federation (Leonelli et al 2012). GARNet also has strongly affected the provision of bioinformatic services to plant scientists and biologists interested in Arabidopsis data. In 2009, the National Science Foundation decided to dramatically cut funding to a key database, The Arabidopsis Information Resource (TAIR), due largely to the lack of long-term sustainability for such an infrastructure. GARNet organised two international workshops that gathered powerful PIs, IT experts and funders to discuss models for the long-term maintenance and development of databases in plant science, helped find an agreement for how TAIR was to survive and develop in the future, and provided guidance on how similar databases could be made more resilient and useful to researchers.

2. Self-Regulatory Efforts as Social Movements

Consortia and steering committees, exemplified in the above cases, have a number of features in common. They are self-organised collectives, whose joint activities begin without a great deal of support from well-established institutions or even from the communities in which they operate. Individuals proposed themselves as representative champions for their communities, whose duty is to voice scientists’ existing concerns and facilitate solutions to those problems. These collectives also support a wider spectrum of values and ideals than the specific issues that they emerged to tackle, such as fostering initiatives that require broad changes in the governance of the social system within which they are working. Initially, these organized efforts were devised as provisional responses to a localised issue in data management and dissemination. They persist with minimal dedicated funding thanks to the voluntary support and contributions of members of the communities that they represent. Despite a precarious status in the early stages of their operation, these self-organised collectives have garnered visibility and political power, building their credibility by keeping a strong connection to the communities that they represent and attempting to articulate scientists’ concerns in a way that bridges communication gaps with relevant peers and other stakeholders. It is not a coincidence that the communities that managed to organise themselves in this way are amongst the largest and most successful biological communities today. One consequence is that the organisms championed by these groups are currently recognised as the most important model organisms in experimental biology (Ankeny and Leonelli 2011), and indeed as exemplifying a specific mode of doing research that has come to define much of the field (Ankeny and Leonelli 2016). All this happened within a relatively short period of time: both the GO Consortium and the GARNet steering committee have gone from outsider status to participating in the primary regulation of biological research within the space of 10 years.
The scientists engaged in these efforts demonstrate an acute awareness of the deep ties between power and standardisation, and of the ways in which these ties affect day-to-day research practices. They have effectively created systems of governance via a complex web of activities (including sophisticated marketing strategies and enrolment techniques) within which the standards and norms that they propose may help to address issues emerging from scientific work. How should we characterise these groups of scientists and their activities? What kind of collective agency is in operation, and how does it achieve both power and impact? One way to consider these questions is in the light of discussions about the emergence and status of so-called ‘new social’ and ‘scientific/intellectual’ movements. Drawing from this literature is not a new idea, and I will refer to authors who have advanced similar views with respect to scientific agency. However, I believe this to be a powerful lens with which to analyse the development of contemporary biological knowledge, and particularly the creation and implementation of standards and infrastructures to disseminate data. From this corpus of literature, I have extracted four characteristic features of social movements that can be observed readily in both case studies. I propose that we view these scientific consortia and steering committees as social movements because they exhibit four characteristic features. Scientific consortia and steering committees:

1. emerge in response to changing research needs and landscapes
2. establish new practices
3. create a vision for how research should be conducted in the future
4. become political actors with the power to engender social, scientific, legal and political shifts (e.g., data sharing policies; rules for database access; publication strategies; shifts to the credit system in science).

2.1 Movements as Reactions

Della Porta and Diani (1999, 6) define new social movements as

a. Informal networks, based on
b. Shared beliefs and solidarity, which mobilise about
c. Conflictual issues, through
d. The frequent use of various forms of protest

The emphasis within this definition is on the role of movements as reactions to the existing status quo. This is an important and suggestive intuition; the collective action characterising consortia and steering committee is driven by the desire to resolve existing problems. For the GO and GARNet, these problems emerge from scientific practice. To this end, a high level of epistemic and political agreement is required, and must be targeted to specific issues. Consortia and steering committees are committed to using a rational, knowledge-based approach to reach such consensus; these are expert movements for an expert community and usage. This often means antagonising the establishment, as in the case of many non-scientific social movements.

A movement is a social/intellectual movement by our definition only if, at the time of its emergence, it significantly challenges received wisdom or dominant ways of approaching some problem or issue and thus encounters resistance (Frickel and Gross 2005, 207).
For Frickel and Gross, the notion of “resistance,” interpreted as opposition to a discriminating majority, is central. Although I agree that, for cases of consortia and steering committees, a degree of resistance and challenge to previous practices and normative demands that characterise a field or domain is involved and provides a key motivation for collective action, there is another noteworthy goal central to the collective agency that initiates consortia. This is to draw attention to issues that have not been the focus of funding agencies nor of the scientific community, and yet have caused trouble for research (or are likely to do so in the future). These are cases where there is a regulatory need that is not recognised by regulatory bodies, and thus where there is an opportunity to delegate decision-making power (and annexed responsibility) to a new form of agency or actor. If successful, some people or institutions are willing (or forced) to absorb the regulatory need, either because they are identified as likely candidates or because they are created for that purpose. Additionally, other scientists are happy to delegate responsibility to these new movements; they willingly give up their decisional power over the issues. A similar dynamic is currently noticeable in the rise of organisations such as the Research Data Alliance, which started as a group of Open Science advocates and lobbyists in 2010 and within five years became a reference point for governments and funding agencies looking for guidance on how to collect and mobilize of research data across all areas of society (Research Data Alliance 2016).

2.2 Movements as Collective Creation

Another significant feature of social movements is that they aim to create something new: “Temporary public spaces, movements of collective creation that provide societies with ideas, identities, and even ideals” (Eyerman and Jamison 1991, 4). GO is a good example of this kind of consortium, which is primarily geared towards the development of new tools and knowledge. GO managed to channel the creative energies of a number of prominent biologists and bioinformaticians into the development of a unique and highly popular database. At the same time, building the momentum and opportunity for such an endeavour is itself a creative and laborious act. Social movements are defined as “luxury goods” because they need support in order to take off on the scale required for collective action to be effective. Thus, they are typically organized around “hot issues” most likely to attract the attention of funders and peers. (This is definitely the case with both data infrastructure and synthetic and translational plant biology.) It is also critical to note the importance of the collective experience of unity through action as a means to form a social identity. The formation of a social nucleus with a distinct identity and sense of membership happens simultaneously with the focus on a common set of issues. Notably, the social unity or cohesion of the group is more important than agreement or consensus on the specifics of the issue itself; what matters is the sense of agreement and belonging of the individuals in the group and the willingness to invest resources toward the same normative vision. Indeed, both GO and GARNet have contributed greatly to forming a well-defined research community that is bound together by similar worries and obligations. Unavoidably, this also involved conflicts over boundaries, the exclusion of individuals or groups for financial, geographical or

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4 For more detail on the ethos of model organism communities and the importance of repertoires in shaping research fields, see Ankeny and Leonelli (2011) and Leonelli and Ankeny (2016).
personal reasons (no matter how inclusive both the GO and the GARNET groups are striving to be), and the formation of other communities striving to counter or emulate their increasing visibility and resources.

2.3 Movements as Signs of Change

Melucci (1996, 1) proposes yet another definition of social movements:

Movements are a sign; they are not merely an outcome of the crisis, the last throes of a passing society. They signal a deep transformation in the logic and processes that guide complex societies. Like the prophets, movements ‘speak before’: they announce what is taking shape even before its direction and content has become clear.

Thus, according to Melucci, social movements have the key function of voicing a normative vision – in this sense they are “signs of change”. This function is visible in both case studies where the collectives in question have developed specific visions of what counts as good science (e.g., norms regulating standardisation and data curation in databases; a commitment to enhancing research efficiency through collaboration and coordination in plant science). These visions play a key role in forming social identities (see above, Section 2.2), but they also contribute to wider debates about the appropriateness of specific goals, norms and methods in research at large, and changes that new technological and social developments foster. Another example can be seen in the ideas of “Science 2.0” and “Open Science”, which have been used by the European Commission over the last decade to capture a perceived ongoing shift in the practice and results of science. This feature parallels the study of the formation of “communities of promise” with a common imagination, such as can be observed in the case of epistemic networks formed around stem cell research (Martin, Brown and Kraft 2008); and more generally, the study of the development and function of scientific “imaginaries” (Jasanoff and Kim 2015).

Notably, elaborating such a vision does not necessarily involve an explicit contrast between it and pre-existing views. Making visions identifiable as new entities (i.e., as signs of change) is as important as building some continuity with the intellectual traditions characterising the epistemic communities to which the vision is directed. A vision needs to be anchored somewhere in order to be understood. The language used to express the vision, the practices it involves, and the problems it is supposed to solve all need to be situated in specific contexts that co-evolve with the vision itself. If spokespersons for a new vision cannot latch on to (and influence) one or more pre-existing intellectual traditions, they will find it difficult if not impossible to enrol new participants in their movements (Frickel and Gross 2005, 221). This is on display in both GARNET and the GO consortium because participants stress that these organisations championed existing understandings of good practice and reliable data sharing within model organism biology, particularly in the face of other ways of handling data preferred by other communities.

2.4 Movements as Rising Power
One final characteristic of social movements concerns the role of power dynamics in the emergence and operation of consortia and steering committees, including the importance of long-term influences on the environment.

When backed by dense social networks and galvanised by culturally resonant, action-oriented symbols, contentious politics leads to sustained interaction with opponents. The result is a social movement (Tarrow 1998, 2).

The actions of GO and GARNet (as well as other types of scientific organisations) result in the acquisition of political representation and agency on national and global agendas, even though their immediate target is primarily needs arising from day-to-day research practice. This large-scale political representation and agency often goes well beyond the resolution of the initial problems and can be referred to as these movements’ “rising power.” Although this is often mentioned in sociological and anthropological studies of emerging fields, the ways in which such power is developed in and through scientific practice deserves much more research. For example, by what diverse paths does a movement quickly develop an internal hierarchy and administration in order to function, which in some cases transforms into a semi-official agency? The National Institute for Health and Care Excellence in the UK, which started as a grassroots movement of doctors trying to monitor the safety of guidelines provided by the National Health Service, is now a major evaluation agency with tremendous clout over government and patient organisations. A key element in this type of development is “access to key resources” (Frickel and Gross 2005, 214). These resources include: (i) organisational structures, such as channels for information flow (e.g., conference venues and publications), frequently linked to epistemic cultures; (ii) intellectual power, grown in parallel to the reputation and personal credibility of the movements’ leaders and to assessment of their vision and actions developed by peers over time; and, (iii) long-term employment within academia for at least some of the movement’s leaders, which provides the stability and continuity necessary to the blossoming of collective agency on a large scale. Another important element is the ability to raise bottom-up support or “micromobilization” (Frickel and Gross 2005, 220). All of these display parallels to the situation outlined by Kaushik Sunder Rajan (2006, 52) in relation to what he calls “new corporate activism”: corporations’ political strategies for influencing the outcome of issues affecting their organisations.

3. Entrenched Configurations as Sources of Social Robustness

I have described four characteristics that social movements seem to have in common with scientists’ attempts to regulate their own activities. Both ontology consortia and steering committees are instances of collective self-regulation stemming from perceived needs in a scientific field (e.g., conflict or lack of resources). They formulate creative solutions to such problems, which are developed and implemented by groups of individuals who have the expertise to recognise the problems and to present them in a way that others within their field will recognise them. Additionally, these groups exhibit an entrepreneurial ability to devise ways in which risky, collective efforts can contribute to solving those problems. Focusing on these characteristics thus helps to explain how groups such as the GO Consortium and GARNET managed to evolve into well-recognised regulatory bodies.
The process of institutionalisation at work in these groups relies heavily on widely entrenched forms of group socialisation, which these organisations exploit in order to achieve two crucial and yet potentially contrasting goals. First, they maintain an enduring identity and some stability, which enables them to keep growing in scale, ambition and visibility. Second, they retain the flexibility needed to fit the highly mutable and volatile nature of current research networks. The capacity to adapt to changes is crucial in the contemporary landscape of scientific funding, where intense competition for relatively small pots of money makes the majority of biological research dependent on collaborations around short-term projects. Collaborators, as well as the topics of interest, can and often do change radically from project to project. Scientists need to manage this environment so as to make interesting new links to people, fields and topics, as well as maintain and develop existing interests and collaborations. Stability arises out of constant renewal; the necessity to enhance the robustness of social scaffolds in the face of environmental perturbations is one of the most fascinating aspects of these scientific initiatives.

A prime example of how these groups depend on these forms of socialisation is the reliance on charismatic individuals as group leaders, carrying authority as well as recognition within the main communities of interest. In the case of GO, for instance, it is notable that the initial impetus towards the development of bio-ontologies was provided by key figures in model organism biology whose scientific authority was already established and well-recognised by their peers. Building on existing credibility and reputation, these figures were able to attract the attention of their peers and the trust of funders, thereby creating a tidal wave of interest that culminated in the formation of a thriving community of developers and users of bio-ontologies. In the case of steering committees such as GARNet, we find similar dynamics; highly visible scientific figures in plant science, most of them men, lent their credibility to the committee as it was being formed.

Given the amount of responsibilities already weighing on the shoulders of these leading figures, much of the actual legwork and co-ordination work was done by individuals who were not well known for their scientific contributions, but who had the right set of competencies and skills to get the job done. These individuals were willing to sacrifice time and resources towards making the enterprise successful at a time when resources allocated to the group were very scarce. Both in the case of GO and in the case of GARNet, these turned out to be junior academics who had broad-ranging scientific interests and were intrigued by the social organisation of their communities. They had a strong drive towards promoting cooperative behaviours in science, and often talked about the importance of “serving” the community of researchers by setting up useful data infrastructures. In some cases, family commitments made it hard for these individuals to pursue a full-time career in research. Perhaps unsurprisingly, the majority of these individuals were women. Thus, to some extent, this embodied the well-established social configuration of womanhood as nurturing and service-oriented, providing colleagues and peers with trustworthy resources and highly skilled labour that did not fit formal structures for scientific credit and measures of excellence.

Another form of socialisation that features heavily in the history of these organisations (and also in the history of many social movements) is that of personal
friendship. In both cases, the regulatory power of collective action was reinforced through informal networking, including late-night discussions, joint trips and workshops involving a regular set of core attendees and the formation of strong personal bonds among some of the individuals involved. This included the willingness to bring on board other friends and collaborators. These informal bonds became particularly important at times of trouble, where problems with the organisation forced its members to regroup and rethink their strategies and general approach. One such moment came for GARNet at the end of its first ten years of funding, when it became apparent that its continuation would depend on its ability to (a) demonstrate the levels of support and appreciation for their work to the BBSRC; and (b) formulate a vision for future work that embraced the whole of plant science, rather than only the Arabidopsis community, which tracked recent trends towards cross-species research (of the type that GARNet itself fostered, for instance through helping to set up the UK Plant Science Federation). At such a time, GARNet members appealed to prominent individuals in plant science with whom they had collaborated in the past, and who were happy to testify to the usefulness of the organisation and help formulate its vision for the next funding cycle.

These forms of socialisation play the role of “core configurations,” which Linnda Caporael has characterised as “subgroups of face-to-face interactions that are posited to recur in daily life, ontogeny, history, and plausibly, as part of human evolutionary history” (2014, 58). They can be identified and singled out on the basis of the specific functions that they accomplish; indeed, their success in achieving a given purpose is what “explains their continued replication” (Caporael 1997, 282). Caporeal has focused on the size of groupings – the number of individuals involved - as a fundamental feature of core configurations, and my analysis of specific cases of collective agency in biology confirms her emphasis on relatively small size of the groupings involved, which enables strong personal relations and the ability to quickly re-organise in order to respond to external challenges. Additionally, I have highlighted the distribution of social roles required to make a social movement grow and become established, especially a scientific organisation with these characteristics. Core configurations like personal friendship, by virtue of their proven track record in bringing and keeping individuals together, have become entrenched forms of socialisation, which individuals fall back upon when attempting to achieve conceptual and institutional changes. As such, these configurations provide stability and visibility to fledging organisations, such as consortia and steering committees, while also enhancing their flexibility to changes in the environment. The result is robust social entities.

4. Conclusions

The dissemination of scientific data relies on a great variety of material and social scaffolds, ranging from well-established institutions which determine data sharing policies and related credit systems (funding agencies, policy bodies, academies, learned societies) to venues through which data can travel (annual conferences, data

5 I am here thinking of simple entrenchment: “an evolving adaptive system with a recurring developmental trajectory, and differential entrenchment generating different degrees of evolutionary conservation” (Wimsatt 2014, 83).
journals, repositories) and other types of organizations involved in the production and re-use of data (universities, networks). In this paper, I have considered two ways in which scientists have coordinated their actions and agendas so as to shape science governance and policy related to the means of data dissemination in biology. Both consortia and steering committees have played – and continue to play - crucial roles in supporting and structuring data curation practices, as well as making them visible and recognised by long-standing scientific institutions. In so doing, they have themselves acquired an institutional role and acted as key social scaffolds for the development and implementation of data-intensive biology. Looking at these organisations as social movements helps to identify some of the core strategies or configurations that helped to develop the ideas, values and priorities of few individual scientists on a large scale, thus shaping knowledge-making practices at international level.

This analysis resonates with Wiebe Bijker’s invitation to recognise specific patterns of agency by groups of scientists as playing an important role in large technological systems (Bijker et al 1987). It also shows why attention to social and institutional dynamics is important to understanding scientific practices. Activities such as data sharing, data interpretation, publication patterns, the choice of topics for future research and scientists’ commitment to specific norms need to be analysed with reference to their broad institutional and social contexts, especially in cases where scientists themselves play a key role in developing and shaping those contexts. In turn, social structures such as formal and informal committees and groups, often brought together by a common concern or goal, function as scaffolds for the development of new institutions (Wimsatt 2013). As illustrated by the speed with which both GARNet and GO have developed from a small group of scientists into larger and influential organisations, an evaluation of the cultural role and impact of specific groups and associated norms and behaviours needs to take account of the highly dynamic context in which they operate. Different characteristics of social scaffolding help at different moments in the development of such institutions. For example, while imposing strong leadership may prove fatal at a moment where a feeling of community participation and engagement is required for social cohesion, it may well help when dynamics change and social coordination is more effectively centred on the activities of a charismatic individual or subgroup. The same can be said for the extent to which norms of engagement are codified (e.g., participation in GARNet networks was voluntary but subject to specific rules of engagement – dictated by the broader funding structure through which it was supported - from the start), the choice to rely on given technologies versus the attempt to develop new ones (GARNet drew its visibility from the former, GO acquired social and political influence by virtue of the latter), and the choice to highlight existing ‘gaps’ in governance versus the attempt to build new areas of influence (GO notably started with the former and ended up pursuing the latter).

In closing, it is critical to stress again that social scaffolds affect the production and transmission of knowledge through their tight interrelation with the development of material and infrastructural scaffolds. Indeed, the existence of organisations such as GARNet and GO has been correlated strongly with the development of computing facilities and data extraction methods in molecular biology. The effective alignment of these material and social structures has made a significant difference to the methods and strategies for data production and interpretation currently in use within
Philosophical research focused on the status of data in contemporary science, as well as the ways in which inferences are drawn and corroborated, needs to look beyond specific instances of data use and examine the reasons why specific norms, instruments and methods become established and the implications that these decisions have for the development of knowledge-making practices. The analysis herein points to an important direction for future work in philosophy of science: the need to challenge minimalist and asocial conceptualisations of scientific agency pervading much of contemporary philosophy. This type of work will help philosophers understand the material, social, conceptual and institutional conditions for knowledge production as a necessarily interconnected and historically situated whole.

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6 For an expanded version of this argument, see Leonelli (2016).


